





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

APPLICATION NUMBER

√

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/686,313

10/10/2000

Marcus Winn

PA1610

22830 CARR & FERRELL LLP 2225 EAST BAYSHORE ROAD SUITE 200 PALO ALTO, CA 94303



Date Mailed: 12/01/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice <u>MUST</u> be returned with the reply.

frewom

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED

OCT 1 8 2001

OFFICE OF PETITIONS

10/18/2001 SLUANG1 00000012 09686313

02 FC:205

65.00 OP

# RECEIVED

OCT 1 8 2001

001 1 0 201

OFFICE OF PETITIONS

NS PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 705.00

| Complete if Known    |             |  |  |  |  |
|----------------------|-------------|--|--|--|--|
| Application Number   | 09/686,313  |  |  |  |  |
| Filing Date          | 10/10/2000  |  |  |  |  |
| First Named Inventor | Marcus Winn |  |  |  |  |
| Examiner Name        | Unknown     |  |  |  |  |
| Group Art Unit       | 2152        |  |  |  |  |
| Attorney Docket No.  | PA1610US    |  |  |  |  |

| METHOD OF PAYMENT   |   |             | FEE CALCULATION (continued)  |             |          |  |             |  |  |
|---|---|-------------|------------------------------|-------------|----------|--|-------------|--|--|
| 1. The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to: |   | 3. <i>A</i> | 3. ADDITIONAL FEES           |             |          |  |             |  |  |
| Deposit   | — indicated loca and droug any overpayments to:         |             | Large Small<br>Entity Entity |             |          |  |             |  |  |
| Account<br>Number   | 06-0600   | Fee<br>Cod  | Fee                          | Fee<br>Code | Fee      | Fee Description  | Fee Paid    |  |  |
| Deposit<br>Account  | at   Carr & Ferrell, LLP                                |             | le (\$)<br>130               | 205         | 65<br>65 | Surcharge - late filing fee or oath  | 65          |  |  |
| Name Charge Any Additional Fee Required   |   | 127         | 50                           | 227         | 25       | Surcharge - late provisional filing fee or cover sheet                     |             |  |  |
| Applicant claims small entity status.   |   | 139         | 130                          | 139         | 130      | Non-English specification  |             |  |  |
| — See 37 CFR 1.27   |   | 147         | 2,520                        | 147         | 2,520    | For filing a request for ex parte reexamination                            |             |  |  |
| 2. X Payment Enclosed:  X Check Credit card Order Other   |   | r 112       | 920*                         | 112         | 920*     | Requesting publication of SIR prior to<br>Examiner action                  |             |  |  |
| FEE CALCULATION   |   |             | 1,840*                       | 113         | 1,840    | Requesting publication of SIR after<br>Examiner action                     |             |  |  |
| 1. BASIC FILING FEE   |   | 115         | 110                          | 215         | 55       | Extension for reply within first month                                     |             |  |  |
| Large Entity  |   | 116         | 400                          | 216         | 200      | Extension for reply within second month                                    |             |  |  |
| Fee Fee   | Fee Fee Fee Description                                 | 117         | 920                          | 217         | 460      | Extension for reply within third month                                     | $\vdash$    |  |  |
|   |   |             | 1,440                        | 218         | 720      | Extension for reply within fourth month                                    |             |  |  |
|   | 201 370 Utility filing fee<br>206 165 Design filing fee | 128         | 1,960                        | 228         | 980      | Extension for reply within fifth month                                     |             |  |  |
|   | 207 255 Plant filing fee                                | 119         | 320                          | 219         | 160      | Notice of Appeal   |             |  |  |
|   | 208 370 Reissue filing fee                              | 120         | 320                          | 220         | 160      | Filing a brief in support of an appeal                                     |             |  |  |
|   | 214 80 Provisional filing fee                           | 121         | 280                          | 221         | 140      | Request for oral hearing   |             |  |  |
| 114 .00 2   |   | 138         | 1,510                        | 138         | ,510     | Petition to institute a public use proceeding                              |             |  |  |
| SUBTOTAL (1) (\$)0  |   | 140         | 110                          | 240         | 55       | Petition to revive - unavoidable   |             |  |  |
| 2. EXTRA CLAIM FEES   |   | 141         | 1,280                        | 241         | 640      | Petition to revive - unintentional   | 640         |  |  |
|   | Fee from Ext <u>ra Claims below Fee F</u>               | aid 142     | 1,280                        | 242         | 640      | Utility issue fee (or reissue)   |             |  |  |
| Total Claims  | 2 <del>0</del> = ×=0                                    | 143         | 460                          | 243         | 230      | Design issue fee   |             |  |  |
| Independent<br>Claims   | 8. = ×=0  | 144         | 620                          | 244         | 310      | Plant issue fee  | <u> </u>    |  |  |
| Multiple Depende  | nt =0   | 122         | 130                          | 122         | 130      | Petitions to the Commissioner  |             |  |  |
|   |   | 123         | 50                           | 123         | 50       | Processing fee under 37 CFR 1.17(q)  |             |  |  |
| Large Entity S  |   | 126         | 180                          | 126         | 180      | Submission of Information Disclosure Stmt                                  |             |  |  |
|   | ode (\$)  | 581         | 40                           | 581         | 40       | Recording each patent assignment per property (times number of properties) |             |  |  |
| 102 84 20   |   | 146         | 740                          | 246         | 370      | Filing a submission after final rejection                                  |             |  |  |
| 104 280 20  |   |             |                              |             |          | (37 CFR § 1.129(a))  | <del></del> |  |  |
| 109 84 20   | • • •   | 149         | 740                          | 249         | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           | <u> </u>    |  |  |
| 110 18 210 9 ** Reissue claims in excess of 20  |   | 179         | 740                          | 279         | 370      | Request for Continued Examination (RCE)                                    |             |  |  |
|   | and over original patent                                | 169         | 900                          | 169         | 900      | Request for expedited examination of a design application                  |             |  |  |
| SUBTOTAL (2) (\$) 0   |   | Othe        | Other fee (specify)          |             |          |  |             |  |  |
| **or number pre   | eviously paid, if greater; For Reissues, see abov       | •Re         | duced by                     | y Basi      | c Filing | Fee Paid SUBTOTAL (3) (\$) 70  | 5           |  |  |

| SUBMITTED BY      |             | Complete (if applicable)             |       |           |                  |
|-------------------|-------------|--------------------------------------|-------|-----------|------------------|
| Name (PrintiType) | David Lewis | Registration No.<br>(Attorney/Agent) | 33101 | Telephone | (650) 812-3412   |
| Signature         | i Danu      | Tews                                 |       | Date      | October 12, 2001 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

